nature climate change

nature > nature climate change > perspectives > article

Perspective | Published: 01 April 2021

Ethical choices behind quantifications of fair contributions under the Paris Agreement

<u>Kate Dooley</u> , <u>Christian Holz</u>, <u>Sivan Kartha</u>, <u>Sonja Klinsky</u>, <u>J. Timmons Roberts</u>, <u>Henry Shue</u>, <u>Harald Winkler</u>, <u>Tom Athanasiou</u>, <u>Simon Caney</u>, <u>Elizabeth Cripps</u>, <u>Navroz K. Dubash</u>, <u>Galen Hall</u>, <u>Paul G. Harris</u>, <u>Bård Lahn</u>, <u>Darrel Moellendorf</u>, <u>Benito Müller</u>, <u>Ambuj Sagar</u> & <u>Peter Singer</u>

Nature Climate Change 11, 300–305 (2021)

4088 Accesses | 23 Citations | 77 Altmetric | Metrics

Abstract

The Parties to the UNFCCC and Paris Agreement agreed to act on the basis of equity to protect the climate system. Equitable effort sharing is an irreducibly normative matter, yet some influential studies have sought to create quantitative indicators of equitable effort that claim to be value-neutral (despite evident biases). Many of these studies fail to clarify the ethical principles underlying their indicators, some mislabel approaches that favour wealthy nations as 'equity approaches' and some combine contradictory indicators into composites we call derivative benchmarks. This Perspective reviews influential climate effort-sharing assessments and presents guidelines for developing and adjudicating policy-relevant (but not ethically neutral) equity research.

This is a preview of subscription content, <u>access via your institution</u>

Relevant articles

Open Access articles citing this article.

Code Red for Humanity: The Role of Business Ethics as We Transgress Planetary Thresholds

Heidi Rapp Nilsen

Journal of Business Ethics | Open Access | 30 March 2023

Evaluating the distributional fairness of alternative adaptation policies: a case study in Vietnam's upper Mekong Delta

Bramka Arga Jafino, Jan H. Kwakkel & Frans Klijn

Climatic Change | Open Access | 02 August 2022

Sharing the effort of the European Green Deal among countries

Karl W. Steininger, Keith Williges ... Keywan Riahi Nature Communications | Open Access | 27 June 2022

Access options

Access Nature and 54 other Nature Portfolio journals

Get Nature+, our best-value online-access subscription

\$29.99 per month

cancel any time

Learn more

Rent or buy this article

Subscribe to this journal

Receive 12 print issues and online access

Get just this article for as long as you need it

\$39.95

\$209.00 per year

only \$17.42 per issue

Learn more

Learn more

Prices may be subject to local taxes which are calculated during checkout

Additional access options:

- <u>Log in</u>
- Learn about institutional subscriptions
- Read our FAQs
- Contact customer support

References

- 1. *United Nations Framework Convention on Climate Change* (UNFCCC, 1992); http://unfccc.int/resource/docs/convkp/conveng.pdf
- 2. The Paris Agreement (UNFCCC, 2015).
- **3.** Sarewitz, D. How science makes environmental controversies worse. *Environ. Sci. Policy* **7**, 385–403 (2004).
- **4.** Howarth, D. Power, discourse, and policy: articulating a hegemony approach to critical policy studies. *Crit. Policy Stud.* **3**, 309–335 (2010).
- 5. Beck, S. & Mahony, M. The IPCC and the new map of science and politics. *WIREs Clim. Change* 9, e547 (2018).

- 6. Miller, C. A. & Edwards, P. N. *Changing the Atmosphere: Expert Knowledge and Environmental Governance* (MIT Press, 2001).
- 7. Klinsky, S. et al. Why equity is fundamental in climate change policy research. *Glob. Environ. Change* **44**, 170–173 (2017).
- 8. Vanderheiden, S. *Atmospheric Justice: A Political Theory of Climate Change* (Oxford Univ. Press, 2008).
- 9. Ciplet, D., Roberts, J. T. & Mizan, R. K. Power in a Warming World (MIT Press, 2015).
- **10.** Agarwal, A. & Narain, S. *Global Warming in an Unequal World: A Case of Environmental Colonialism* (Centre for Science and Environment, 1991).
- 11. Shue, H. Subsistence protection and mitigation ambition: necessities, economic and climatic. *Br. J. Polit. Int. Relat.* 21, 251–262 (2019).
- 12. Vaillancourt, J. G. in *Environmental Sociology: Theory and Practice* (eds Meha, M. D. & Ouellets, E.) 219–230 (Captus Press, 1995).
- 13. Sen, A. *Development as Freedom* (Oxford Univ. Press, 1999).
- 14. Shue, H. Subsistence emissions and luxury emissions. *Law Policy* 15, 39–60 (1993).
- 15. Caney, S. in *The Ethics of Global Climate Change* (Cambridge Univ. Press, 2011).

- **16.** Kartha, S. et al. Cascading biases against poorer countries. *Nat. Clim. Change* **8**, 348–349 (2018).
- 17. Meyer, L. H. & Roser, D. Climate justice and historical emissions. *Crit. Rev. Int. Soc. Polit. Phil.* 13, 229–237 (2010).
- 18. Moellendorf, D. Responsibility for increasing mitigation ambition in light of the right to sustainable development. *Fudan J. Human. Soc. Sci.* **13**, 189–190 (2020).
- **19.** Gosseries, A. Luck egalitarianism and the greenhouse effect. *Can. J. Phil.* **35**, 279–309 (2005).
- **20.** Caney, S. Just emissions. *Philos. Public Aff.* **40**, 255–300 (2012).
- **21.** Schmidt, B. C. Principle and prejudice: the Supreme Court and race in the progressive era. Part 3: black disfranchisement from the KKK to the grandfather clause. *Columbia Law Rev.* **82**, 835–905 (1982).
- 22. Caney, S. in *The Stanford Encyclopedia of Philosophy* (ed. Zalta, E. N.) (Metaphysics Research Lab, Stanford University, 2020); https://plato.stanford.edu/entries/justice-climate/
- **23.** Shue, H. *Basic Rights: Subsistence, Affluence, and U. S. Foreign Policy* (Princeton Univ. Press, 2020).
- 24. Singer, P. One World: The Ethics of Globalization (Yale Univ. Press, 2004).

- **25.** Moellendorf, D. *The Moral Challenge of Dangerous Climate Change: Values, Poverty, and Policy* (Cambridge Univ. Press, 2014).
- **26.** Caney, S. *Justice Beyond Borders: A Global Political Theory* (Oxford Univ. Press, 2005).
- **27.** Brock, G. (ed.) *Necessary Goods: Our Responsibilities to Meet Others' Needs* (Rowman and Littlefield, 1998).
- **28.** Watson, R., McCarthy, J. J., Canziani, P., Nakicenovic, N. & Hisas, L. *The Truth Behind the Climate Pledges* (Fundación Ecológica Universal (FEU-US), 2019).
- **29.** Raupach, M. R. et al. Sharing a quota on cumulative carbon emissions. *Nat. Clim. Change* **4**, 873–879 (2014).
- **30.** Hayward, T. Human rights versus emissions rights: climate justice and the equitable distribution of ecological space. *Ethics Int. Aff.* **21**, 431–450 (2007).
- 31. Aristotle Nicomachean Ethics 1131a23-24
- **32.** Adger, N. W., Paavola, J. & Huq, S. in *Fairness in Adaptation to Climate Change* (eds Adger, N. W. et al.) 1–19 (MIT Press, 2006).
- **33.** Muttitt, G. & Kartha, S. Equity, climate justice and fossil fuel extraction: principles for a managed phase out. *Clim. Policy* **20**, 1024–1042 (2020).
- **34.** Wallimann-Helmer, I., Meyer, L., Mintz-Woo, K., Schinko, T. & Serdeczny, O. in *Loss and Damage from Climate Change: Concepts, Methods and Policy Options* 39–62

(Springer, 2019).

- **35.** Rogelj, J. & Schleussner, C.-F. Unintentional unfairness when applying new greenhouse gas emissions metrics at country level. *Environ. Res. Lett.* **14**, 114039 (2019).
- **36.** Klinsky, S. & Winkler, H. Building equity in: strategies for integrating equity into modelling for a 1.5 °C world. *Phil. Trans. R. Soc. A* **376**, 20160461 (2018).
- **37.** Höhne, N., Elzen, Mden & Escalante, D. Regional GHG reduction targets based on effort sharing: a comparison of studies. *Clim. Policy* **14**, 122–147 (2014).
- **38.** van den Berg, N. J. et al. Implications of various effort-sharing approaches for national carbon budgets and emission pathways. *Climatic Change* **162**, 1805–1822 (2019).
- **39.** Parra, P. et al. *Equitable Emissions Reductions Under the Paris Agreement* (CAT, 2017); https://climateactiontracker.org/documents/56/CAT_2017-09-19_EquityUpdate_BriefingPaper.pdf
- **40.** *Comparability of Effort* (CAT, 2017); https://climateactiontracker.org/methodology/comparability-of-effort/
- **41.** Robiou du Pont, Y. et al. Equitable mitigation to achieve the Paris Agreement goals. *Nat. Clim. Change* **7**, 38–43 (2017).
- **42.** Pan, X., Den Elzen, M., Höhne, N., Teng, F. & Wang, L. Exploring fair and ambitious mitigation contributions under the Paris Agreement goals. *Environ. Sci. Policy* **74**,

49-56 (2017).

- **43.** Robiou du Pont, Y. & Meinshausen, M. Warming assessment of the bottom-up Paris Agreement emissions pledges. *Nat. Commun.* **9**, 4810 (2018).
- **44.** Meinshausen, M. et al. National post-2020 greenhouse gas targets and diversity-aware leadership. *Nat. Clim. Change* **5**, 1098–1106 (2015).
- **45.** Peters, G., Andrew, R. M., Solomon, S. & Friedlingstein, P. Measuring a fair and ambitious climate agreement using cumulative emissions. *Environ. Res. Lett.* **10**, 105004 (2015).
- **46.** Pozo, C., Galán-Martín, Á., Reiner, D. M., Mac Dowell, N. & Guillén-Gosálbez, G. Equity in allocating carbon dioxide removal quotas. *Nat. Clim. Change* **10**, 640–646 (2020).
- **47.** Clarke, L. et al. in *Climate Change 2014: Mitigation of Climate Change* (eds Edenhofer, O. et al.) Ch. 6 (IPCC, Cambridge Univ. Press, 2014).
- **48.** IPCC *Climate Change 2014: Mitigation of Climate Change* (eds Edenhofer, O. et al.) (Cambridge Univ. Press, 2014).
- **49.** Pan, J. Meeting human development goals with low emissions: an alternative to emissions caps for post-Kyoto from a developing country perspective. *Int. Environ. Agreem.* **5**, 89–104 (2005).

- **50.** Kolstad, C. et al. in *Climate Change 2014: Mitigation of Climate Change* (eds Edenhofer, O. et al.) Ch. 3 (IPCC, Cambridge Univ. Press, 2014).
- **51.** Fleurbaey, M. et al. in *Climate Change 2014: Mitigation of Climate Change* (eds Edenhofer, O. et al.) Ch. 4 (IPCC, Cambridge Univ. Press, 2014).
- **52.** Holz, C., Kartha, S. & Athanasiou, T. Fairly sharing 1.5: national fair shares of a 1.5 °C-compliant global mitigation effort. *Int. Environ. Agreem.* **18**, 117–134 (2018).
- **53.** Sælen, H., Tørstad, V., Holz, C. & Nielsen, T. D. Fairness conceptions and self-determined mitigation ambition under the Paris Agreement: is there a relationship? *Environ. Sci. Policy* **101**, 245–254 (2019).
- **54.** Anderson, K., Broderick, J. F. & Stoddard, I. A factor of two: how the mitigation plans of `climate progressive' nations fall far short of Paris-compliant pathways. *Clim. Policy* **20**, 1290–1304 (2020).
- 55. McMullin, B., Price, P., Jones, M. B. & McGeever, A. H. Assessing negative carbon dioxide emissions from the perspective of a national "fair share" of the remaining global carbon budget. *Mitig. Adapt. Strateg. Glob. Change* **25**, 579–602 (2020).
- **56.** Fyson, C. L., Baur, S., Gidden, M. & Schleussner, C.-F. Fair-share carbon dioxide removal increases major emitter responsibility. *Nat. Clim. Change* **10**, 836–841 (2020).
- 57. Schlosberg, D. *Defining Environmental Justice: Theories, Movements, and Nature* (Oxford Univ. Press, 2007).

- 58. Wesselink, A., Buchanan, K. S., Georgiadou, Y. & Turnhout, E. Technical knowledge, discursive spaces and politics at the science–policy interface. *Environ. Sci. Policy* **30**, 1–9 (2013).
- **59.** Oppenheimer, M. et al. *Discerning Experts: The Practices of Scientific Assessment for Environmental Policy* (Univ. Chicago Press, 2019).
- **60.** Fraser, N. Recognition without ethics? *Theory Cult. Soc.* **18**, 21–42 (2001).
- **61.** Stirling, A. "Opening up" and "closing down": power, participation, and pluralism in the social appraisal of technology. *Sci. Technol. Hum. Values* **33**, 262–294 (2008).
- **62.** Winkler, H. Reducing inequality and carbon emissions: innovation of developmental pathways. *S. Afr. J. Sci.* **114**, 1–7 (2018).

Author information

Authors and Affiliations

Climate & Energy College, University of Melbourne, Melbourne, Victoria, Australia Kate Dooley

Department of Geography and Environmental Studies, Carleton University, Ottawa, Ontario, Canada Christian Holz

Climate Equity Reference Project, Berkeley, CA, USA Christian Holz & Tom Athanasiou

Stockholm Environment Institute, Boston, MA, USA Sivan Kartha

School of Sustainability, College of Global Futures, Arizona State University, Tempe, AZ, USA Sonja Klinsky

Institute at Brown for Environment and Society, Brown University, Providence, RI, USA
J. Timmons Roberts & Galen Hall

Centre for International Studies, University of Oxford, Oxford, UK Henry Shue

Faculty of Engineering and the Built Environment, African Climate and Development Initiative, University of Cape Town, Cape Town, South Africa

Harald Winkler

Department of Politics and International Studies, University of Warwick, Coventry, UK

Simon Caney

Politics and International Relations, University of Edinburgh, Edinburgh, UK

Elizabeth Cripps

Centre for Policy Research, New Delhi, India

Navroz K. Dubash

Department of Social Sciences, Education University of Hong Kong, Tai Po, Hong Kong

Paul G. Harris

CICERO Center for International Climate Research, Oslo, Norway

Bård Lahn

International Political Theory and Philosophy, Goethe University, Frankfurt, Germany

Darrel Moellendorf

Department of Philosophy, University of Johannesburg, Johannesburg, South Africa

Darrel Moellendorf

University of Oxford, Oxford, UK

Benito Müller

School of Public Policy, Indian Institute of Technology Delhi, New Delhi, India

Ambuj Sagar

University Center for Human Values, Princeton University, Princeton, NJ, USA

Peter Singer

Contributions

All authors contributed to the conception of the work. K.D., C.H., S. Kartha, S. Klinsky, H.S., T.R. and H.W. jointly wrote the paper. K.D., C.H., S. Kartha and G.H. contributed to the analysis and interpretation of data, including the figures. All authors contributed to discussions of revisions and improvements to this paper.

Corresponding author

Correspondence to Kate Dooley.

Ethics declarations

Competing interests

The authors declare no competing interests.

Additional information

Peer review information *Nature Climate Change* thanks Sudhir Rajan, Narasimha Rao, Steve Vanderheiden and the other, anonymous, reviewer(s) for their contribution to the peer review of this work.

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Supplementary information

Supplementary Data 1

Supplementary data containing Supplementary Tables 1 (Overview of reviewed studies) and 2 (Analysis for Figs. 1 and 2).

Rights and permissions

Reprints and Permissions

About this article

Cite this article

Dooley, K., Holz, C., Kartha, S. *et al.* Ethical choices behind quantifications of fair contributions under the Paris Agreement. *Nat. Clim. Chang.* **11**, 300–305 (2021). https://doi.org/10.1038/s41558-021-01015-8

Received	Accepted	Published
22 July 2020	01 March 2021	01 April 2021

Issue Date

April 2021

DOI

https://doi.org/10.1038/s41558-021-01015-8

Subjects <u>Decision making</u> <u>• Ethics</u>

This article is cited by

National models of climate governance among major emitters

Johnathan Guy, Esther Shears & Jonas Meckling

Nature Climate Change (2023)

<u>Breaking down nationally determined contribution (NDC) targets into subnational actions: a two-staged allocation approach study in China</u>

Mingyu Li, Libin Cao ... Bofeng Cai

Environment, Development and Sustainability (2023)

<u>Code Red for Humanity: The Role of Business Ethics as We Transgress</u> <u>Planetary Thresholds</u>

Heidi Rapp Nilsen

Journal of Business Ethics (2023)

The path to 1.5 °C requires ratcheting of climate pledges

Gokul Iyer, Yang Ou ... Haewon McJeon

Nature Climate Change (2022)

Sharing the effort of the European Green Deal among countries

Karl W. Steininger, Keith Williges ... Keywan Riahi

Nature Communications (2022)

Nature Climate Change (Nat. Clim. Chang.) | ISSN 1758-6798 (online) | ISSN 1758-678X (print)